# Simulation Study of Network Reconfiguration and Load-balancing Method for the Xinjiang Astronomical Observatory Data Center

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# Abstract

The Xinjiang Astronomical Observatory Data Center faces issues related to delay-affected services. As a result, these services cannot be implemented in a timely manner due to the overloading of transmission links. In this paper, the software-defined network technology is applied to the Xinjiang Astronomical Observatory Data Center Network (XAO-DCN). Specifically, a novel reconfiguration method is proposed to realise the software-defined Xinjiang Astronomical Observatory Data Center Network (SDXAO-DCN), and a network model is constructed. To overcome the congestion problem, a traffic load-balancing algorithm is designed for fast transmission of the service traffic by combining three factors: network structure, congestion level and transmission service. The proposed algorithm is compared with current commonly load-balancing algorithms which are used in data center to verify its efficiency. Simulation experiments show that the algorithm improved transmission performance and transmission quality for the SDXAO-DCN.

Key words: virtual observatory tools - astronomical databases: miscellaneous - methods: miscellaneous

#### 1. Introduction

The Xinjiang Astronomical Observatory Data Center (XAO-DC) (Zhang et al. 2019) commenced operating from 2015, and has effectively solved the problem of archiving and retrieving the valuable astronomical data accumulated by the Nanshan 26-m radio telescope (NSRT) and the Nanshan one-meter wide-field telescope (NOWT) over several years of observation. It has also provided open sharing of the astronomical observation data to the public. Based on the latest virtual observatory (VO) standards, a number of data services have been released to the public. The basic services include the following: data storage, data management and release for astronomical observations; long-term storage and access services for valuable astronomical data and secondary processed data; scientific data archive and release, and related technical support for researchers. The data resources mainly include NSRT pulsar observations, active galactic nuclei observations, molecular spectral line observations and NOWT observations. The data services provided include PPMXL catalog cone search, online cross-certification of massive catalog data, and UCD information query. With the continuous improvement in the NSRT and NOWT observation capabilities, the demand for differentiated services provided by the XAO-DC has been increasing. Bandwidth-affected services, such as astronomical data storage and backup, lead to network congestion due to the overloading of some transmission

links, resulting in delay-affected services (such as astronomical data retrieval), which cannot be implemented in a timely manner. In this work, a software-defined networks (SDN) technology reconfiguration method is applied to an astronomical data center network (DCN). There are three reasons for using SDN: (1) it has the advantage of centralised control, comprehensive control of the network state and network programmable; (2) it shifts the intelligence of the network from hardware to software, adding new features to the network without updating hardware devices; (3) the performance of the astronomical data center transmission networks can be improved while avoiding conflicts between astronomical service flows and ensuring network load-balancing. As a result, the problems related to transmission network stability, scalability and bandwidth bottleneck can be effectively overcome by reducing the deployment cost while avoiding conflicts between astronomical service flows, ensuring network load-balancing and effectively solving problems of transmission network stability, scalability and bandwidth bottlenecks.

# 2. Xinjiang Astronomical Observatory Data Center Network Architecture and Traffic Characteristics

# 2.1. Network Architecture

A DCN is the basic infrastructure of an astronomical data center and is responsible for transmitting various core key services, including data storage and archiving as well as facilitating networked scientific research. Such a network can



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be implemented using three types of structure: (1) a server-based network represented by the BCube structure proposed by Guo et al. (2009), the CamCube structure proposed by Abu-Libdeh et al. (2010) and the MDCube structure proposed by Wu et al. (2009); (2) a switch-based network represented by the fat-tree architecture (Al-Fares et al. 2008), the optical switching architecture proposed by Kai et al. (2014) and the virtual layer 2 (VL2) architecture proposed by Greenberg et al. (2011); (3) the irregular network with no uniform structure. The XAO-DC adopts a two-layer tree structure with the core switch as the root node. This network structure is simple and intuitive to build and manage as well as easy to operate. However, its performance is affected by the bandwidth of the root node and exhibits disadvantages such as low overall network utilisation and extended data transmission time. Also, a failure in the root node will cause a single-point failure. As NSRT and NOWT observations have been increasing, new requirements have been put forward regarding the performance of the XAO-DC.

## 2.2. Flow Characteristics

Significant research work has been conducted on DCN traffic characteristics. Benson et al. (2010) studied ten data centers and found that the number of large data streams in DCNs, although less than 10% of the total traffic, accounts for 80% of the total bandwidth. They also found that the rest belongs to small data streams, which account for 90% of the total traffic with less than 10 MB (more than 80% of the data streams bytes are less than 10 KB). Kandula et al. (2009) found that more than 80% of the data streams in DCNs have a duration less than 10 s and no more than 0.1% of the total data streams have a duration greater than 200 s. The XAO-DC network is required not only to complete the storage and backup of NSRT and NOWT observation data but also to interact with researchers, complete the response and processing of external requests and provide efficient data access and interoperability to researchers to retrieve and analyze the data in a Web or VO manner. In addition, based on the access and interoperability of astronomical data, the astronomical data center is also required to perform computational and analytical tasks to identify new patterns and discoveries from the huge amount of data obtained. These data and various application requirements make the traffic in the XAO-DC exhibit different characteristics. The XAO-DC operates two types of traffic: one is the astronomical service traffic affected by the bandwidth (this type of traffic is mainly generated by processes such as NSRT and NOWT data storage and backup, which have high-bandwidth requirements); the other is the astronomical service traffic affected by the delay (this type of traffic is mainly generated by processes such as high-performance computing and astronomical data retrieval, which have low-delay requirements. Between them, the bandwidth-affected astronomical service traffic occupies most of the bandwidth with a certain imbalance, and the local traffic bursts lead to a long-tailed distribution of statistical characteristics. These data flows collide with each other and affect

each other in the following way: the bandwidth-affected astronomical service traffic affects the transmission of the delayaffected astronomical service traffic, resulting in a significant increase in the delay and packet loss; a large amount of the delayaffected astronomical service traffic affects the transmission of the bandwidth-affected astronomical service traffic, resulting in reduced network throughput and poor network performance.

#### 3. Mathematical Modelling

#### 3.1. Network Model

The proposed SDXAO-DCN is modelled using SDN technology combined with knowledge related to the graph theory. The SDXAO-DCN is abstracted as a directed graph, which is denoted as  $G = (H[i] \cup S[j], L[s], V[\max])$ , where H[i] denotes the set of *i* host nodes, S[j] denotes the set of *j* switch nodes, L[s]denotes the set of *s* links between switches *i*, *j*,  $s \in N^*$  and  $V[\max]$ denotes the maximum link capacity between nodes. In addition, SC[n] denotes the source host node, DT[n] denotes the destination host node SC[n],  $DT[n] \in H[i]$  and BW[n] denotes the actual occupied bandwidth of the data streams in the link. The standard deviation  $\zeta$  of the  $x \rightarrow y$  link capacity of the SDXAO-DCN can be obtained and expressed by Equation (1), and the objective function can be defined as Minimise  $\zeta$ , where  $(x, y) \in L[s]$ .

$$\zeta = \sqrt{\frac{1}{s}} \left[ \sum \left( \sum_{n=1}^{m} BW[x \to y] - \frac{1}{s} \sum_{n=1}^{m} BW[x \to y] \right)^{2} \right]$$
(1)

According to the flow conservation law in the transmission of astronomical data center traffic, the outflow of any flow from the source host node SC[n] is equal to the inflow into the destination host node DT[n], expressed by Equations (2) and (3) where y, SC[n],  $DT[n] \in L[s]$ 

$$\sum BW[SC[n] \to y] - \sum BW[y \to SC[n]] = BW[n]$$
(2)

$$\sum BW[DT[n] \to y] - \sum BW[y \to DT[n]] = -BW[n]$$
(3)

Except for the source host node SC[n] and the destination host node DT[n], the traffic of any astronomical data input to a node H[i] should be equal to the output traffic of that node, as expressed by Equation (4).

The bandwidth condition shown in Equation (5) must be satisfied during the scheduling of the astronomical data transmission traffic, and the requested bandwidth of the traffic in each link must satisfy non-negativity, as expressed by Equation (6).

$$\sum BW[x \to y] \leqslant \Omega \cdot V[\max]$$
(5)

$$BW[x \to y] \ge 0, \, \sharp(x, y) \in H[i] \, \bigcup \, S[j] \tag{6}$$

where  $\Omega$  is the redundancy factor, and  $\Omega \in (0, 1)$  is defined to prevent a stream from exceeding the link capacity. By solving the objective function, the astronomical data transmission traffic is reasonably scheduled to control the transmission of data streams in the network, thus achieving a uniform traffic distribution across the SDXAO-DCN network and minimizing the dispersion of link capacity across the network.

#### 3.2. Load-balancing Modelling

The load-balancing can be solved by first finding the set of the shortest deviation paths between the source host node SC[n] and the destination host node DT[n], according to the K shortest path (KSP) (Perko 2010) (K Shortest Paths) algorithm. Then, the data-traffic distribution in the transmission link and the transmission route weights are measured. Finally, the path with the lowest load is selected to complete the astronomical service traffic scheduling. The degree of distribution is expressed by Equation (7), and the routing weights are expressed by Equation (8).

$$DIST[t] = \frac{BW[t] - BW[t - \Delta t]}{\Delta t \cdot BW[\max]}$$
(7)

where DIST[t] is the degree of distribution and expresses the ratio of the size of the traffic flowing through the link to the bandwidth at time t; BW[t] is the data traffic received by a switch at time t,  $\Delta t$  is the duration of the data traffic,  $BW[t - \Delta t]$  is the number of bytes of the data traffic received by the switch at time  $(t - \Delta t)$ , and BW[max] is the maximum link bandwidth occupied by the transmission of the current data traffic. When the astronomical service traffic flowing through a link in the data center network increases, the distribution degree DIST[t] value of the corresponding link becomes larger, and the number of collisions between astronomical service traffics in the corresponding link increases. Conversely, a lower DIST[t] value leads to a lower congestion probability in the link.

$$\Phi[t] = \Phi[1] \cdot \frac{BW[\max] - BW[t]}{BW[\max]} + \Phi[2] \cdot \frac{DL[t]}{DL[\max]} + \Phi[3] \cdot Other[t]$$
(8)

In Equation (8),  $\Phi[t]$  is the load degree of link  $x \rightarrow y$  link, which indicates the link load of the  $x \rightarrow y$  link at moment t of the cycle.  $\Phi[1]$  is the weight parameter of link utilisation, DL[t] is the transmission link delay, DL[max] is the maximum value of link delay,  $\Phi[2]$  is the weight parameter of link delay, *Other*[t] is the other uncertainties affecting the transmission performance,  $\Phi[3]$  is the weight parameter of uncertainties and  $\Phi[1] +$   $\Phi[2] + \Phi[3] = 1$ . When the load degree value  $\Phi[t]$  is low, it means that the load of the  $x \rightarrow y$  link at moment *t* of the cycle is low, and the possibility of selecting this path is high. Similarly, when the load degree  $\Phi[t]$  value is high, it means that the load of the  $x \rightarrow y$  link at moment *t* of the cycle is high, and the possibility of selecting this path is low. Using a path with a low-load degree for route scheduling for the bandwidth-affected service traffic, the utilisation of the SDXAO-DCN bandwidth resources can be maximized while balancing the link load, thus improving the overall performance of the SDXAO-DCN.

# 4. Network Module and Load-balancing Algorithm Design

As shown in Figure 1, based on the SDN technology and the OpenFlow protocol, the following functional modules are designed for the SDXAO-DCN, according to the transmission requirements of the astronomical data center: global topology discovery module, global status awareness module, traffic detection module, route calculation module and policy deployment and distribution module.

# 4.1. Global Topology Discovery Module

In the global topology discovery module, the controller sends packets to the switches in the SDXAO-DCN transport network through the link layer discovery protocol (LLDP) via Packet\_out messages, and the switches flood the received LLDP packets to all ports. When other neighboring switches receive an LLDP packet and find that there is no flow table entry in the switch flow table that matches the received packet, the switch sends a PACKET\_IN message to the controller. After receiving the PACKET\_IN message, the controller parses the packet and logs the topology information to obtain the entire network topology.

#### 4.2. Global Status-awareness Module

The global status-awareness module sends relevant state request messages to the SDN switch by periodically calling the port state request method and the stream state request method. For example, the SDN controller periodically sends the OFP\_PORT\_-STATS\_REQUEST message to the SDN switch, and the SDN switch replies with the OFP\_PORT\_STATS\_REPLY message to the SDN controller. The SDN controller parses the message body to obtain port information, including packets, bytes and timestamps of the receiving and sending ends. It then obtains the link state information and data flow statistics of the SDN switch and passes this link-state information to the route calculation module and the data flow information to the astronomical large data flow detection module.

#### 4.3. Traffic Detection Module

Based on the data flow information collected by the global status-awareness module, the traffic flowing through the SDN switch is periodically detected for congestion and service type

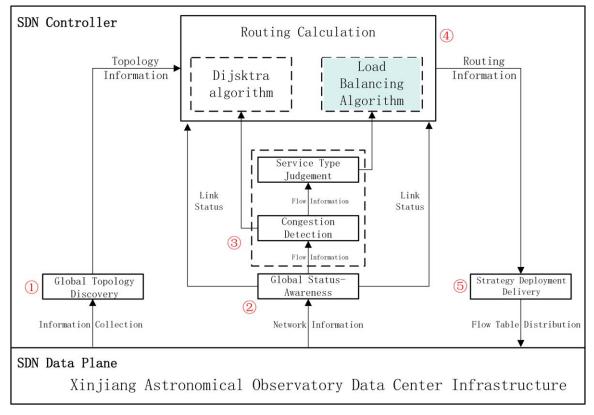


Figure 1. SDXAO-DCN functional module design diagram.

determination. The traffic matrix is adjusted using the OFPT\_ PACKET\_IN and OFPT\_FLOW\_REMOVE messages to assign the detected traffic to the path that provides sufficient link space; this path is stored in the memory. Each storage object is identified by a sequence number, which is incremented by 1 after each interval. It is important to note that at any given moment, only the two most recent storage objects are stored in the memory. The other components of the SDN controller can directly access the current storage object in the memory to obtain the required information.

#### 4.4. Routing Calculation Module

The routing calculation module, which is the core module of the SDXAO-DCN, routes the data flow according to the determination result of the traffic detection module, whose key is the load-balancing algorithm. The specific implementation process is shown in Figure 2. When the standard deviation of the link capacity DIST[t] < 0.1, the transmission path has no congestion or very low congestion and the shortest path can be calculated using the Dijkstra algorithm to complete the traffic forwarding. When the standard deviation of the link capacity DIST[t]  $\ge$  0.1, the transmission path is congested and the type of transmission traffic is detected. If the service traffic is affected by the bandwidth, the

SDN controller controls the transmission; if the service traffic is affected by the delay, the default equivalent multipath ECMP (Hopps 2000) routing method is used for transmission.

## 4.5. Policy Deployment Distribution Module

The routing information calculated by the route calculation module is sent down to the SDN switch in the astronomical data center in the form of a flow table by the policy deployment distribution module. The flow table entries are updated in the SDN switch to match the new flow table entries, and the packets are forwarded when the astronomical data flow enters the SDN switch. In this way, the data transmission process is completed.

#### 5. Simulation Analysis

#### 5.1. Simulation Environment

An Intel(R) Xeon(R) W-2145 CPU @ 3.70 GHz workstation with 64 GB of memory was used for the simulation experiments. Two sets of virtual machines (VMs) were built by deploying the operating system Ubuntu 18.04.1 (a Linux version 5.3.0-46-generic). One set of VMs employed the RYU<sup>6</sup> SDN controller to

<sup>&</sup>lt;sup>6</sup> https://ryu.readthedocs.io/en/latest/index.html

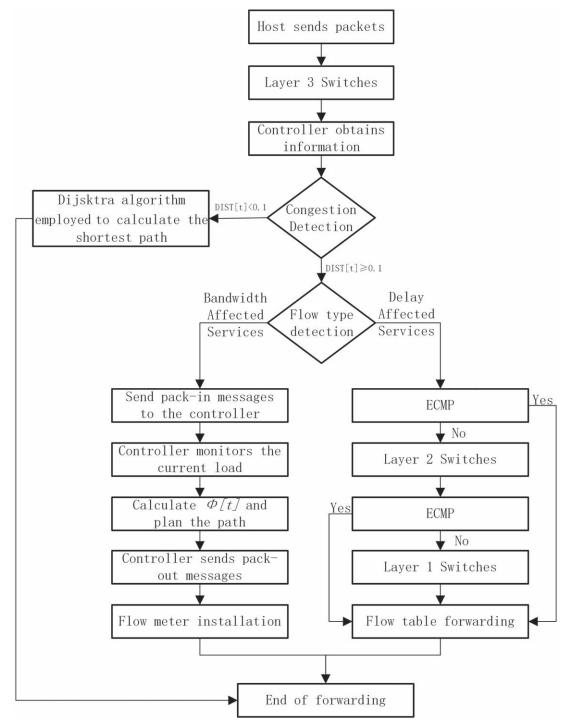


Figure 2. Load-balancing algorithm.

implement the prototype astronomical data-traffic scheduling algorithm in the SDXAO-DCN. In the other set of VMs, the lightweight network simulator Mininet (Lantz et al. 2010) (version: 2.2.2) was installed to emulate the network environment of

SDXAO-DCN and simulate multiple hosts, switches and other multiple links on the Linux kernel. The switch was the Open vSwitch 2.5.5, the southbound interface protocol was the Open-Flow 1.3, the network traffic required for the experiment was

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Simulation Experiment Parameters				
Experimental Parameters	Parameter Setting	Experimental Parameters	Parameter Setting	
Topology-I-Controller	1	Topology-II-Controller	1	
Topology-I-Switch Nodes	20	Topology-II-Switch Nodes	45	
Topology-I-Network Links	48	Topology-II-Network Links	144	
Topology-I-Host Nodes	16	Topology-II-Host Nodes	54	
Controller monitoring cycle	5 s	Stream entry timeout	5 s	
Maximum number of buffered queues	1000	Number of tests	100	
Link bandwidth (switch $\rightarrow$ switch)	100 Mbps	Link bandwidth (switch $\rightarrow$ host)	10 Mbps	

 Table 1

 Simulation Experiment Parameter

generated using the Iperf traffic generation tool, and the bandwidth monitoring tool used was the Bandwidth Monitor NG (bwm-ng) v0.6.1. The simulation parameters are shown in Table 1, it is important to note that choosing a larger bandwidth will affect the performance of the Mininet, so the bandwidth has been scaled down equally and set at 10/100 Mbps for the experiment. The efficiency and performance evaluation of the astronomical data-traffic scheduling is not affected, and the impact of the simulation platform performance limitations on the results is also reduced. The flow model settings are shown in Table 2, the random flow model simulates general conditions and the restricted traffic model simulates flow conditions for specific data transmission services.

#### 5.2. Performance Analysis

To verify the superior performance of the proposed algorithm, an experimental comparison of three algorithms was conducted. Algorithm 1 is the ECMP algorithm, which is widely used in current data centers. ECMP is a flow-based static load-balancing algorithm capable of significantly improving the network throughput. However, it is unable to adjust the transmission weight of data flow according to specific data flow variations in the network and is prone to network congestion. Algorithm 2 is the Hedera algorithm (Al-Fares et al. 2010). This is a dynamic traffic-scheduling algorithm based on the global first fit (GFF). This algorithm performs a linear search for all valid transmission paths and assigns them on demand while updating the link state and waiting for the next search. Algorithm 3 is the algorithm proposed in this paper. To verify the scalability of the proposed algorithm, Topology-I was set as a small-scale network, consisting of 20 switches, 16 servers and 48 links, whereas Topology-II was set as a large-scale network, consisting of 45 switches, 54 servers and 144 links. By conducting simulation experiments, a comparison of the three algorithms was conducted regarding the throughput and latency. The comparison results are presented below.

# 5.2.1. Throughput Test Results

Throughput portrays the maximum end-to-end rate in packet transmission and is an important metric for evaluating network performance. In the throughput experiment, five groups of traffic

Table 2Flow Model Settings

Flow Patterns	Subnet	in_Pod	dif_Pod
Flow 1	random	random	random
Flow 2	20%	20%	60%
Flow 3	30%	20%	50%
Flow 4	40%	30%	30%
Flow 5	50%	30%	20%

models were employed to evaluate each of the three algorithms described above. Because the total bandwidths of Topology-I and Topology-II are different, to facilitate the comparison, the bandwidths were normalized using Equation (9). The bandwidths recorded in the experiments were mapped to the [0, 1] interval, as shown in Figure 3, where a simple comparison of the average throughputs within 60 s of random sampling is presented.

$$NORM[t] = \frac{BW[t]}{BW[max]}$$
(9)

Because the simulation experiments and the real network environment are both affected by various factors, to verify the universality of the proposed algorithm, 100 groups of experiments conducted in different periods (between 2021 December and 2022 February) were selected for comparison. The results are shown in Figure 3. The results obtained by employing five traffic models in Topology-I indicate that the average throughputs are approximately 19.98%, 30.39% and 60.80% for Algorithms 1, 2 and 3, respectively. Clearly, Algorithm 3 exhibits the best performance. The results obtained by employing five traffic models in Topology-II indicate that the overall trend of the three algorithms is largely unchanged. Specifically, the average throughputs are approximately 20.04%, 26.44% and 63.98% for Algorithms 1, 2 and 3, respectively. Again, Algorithm 3 exhibits the best performance. For the traffic models Flow 4 and Flow 5 in Topology-I, the algorithm performance improvement is not obvious because the dif\_Pod traffic accounts for a small percentage of the service traffic affected by the bandwidth; thus, the algorithm-scheduling effect is not obvious.

The low performance of Algorithm 1 is due to the lack of differentiation between the bandwidth-affected and latency-

# Comparison of Average Throughput (Normalized)

#### under five traffic modes in Topology-I and Topology-II

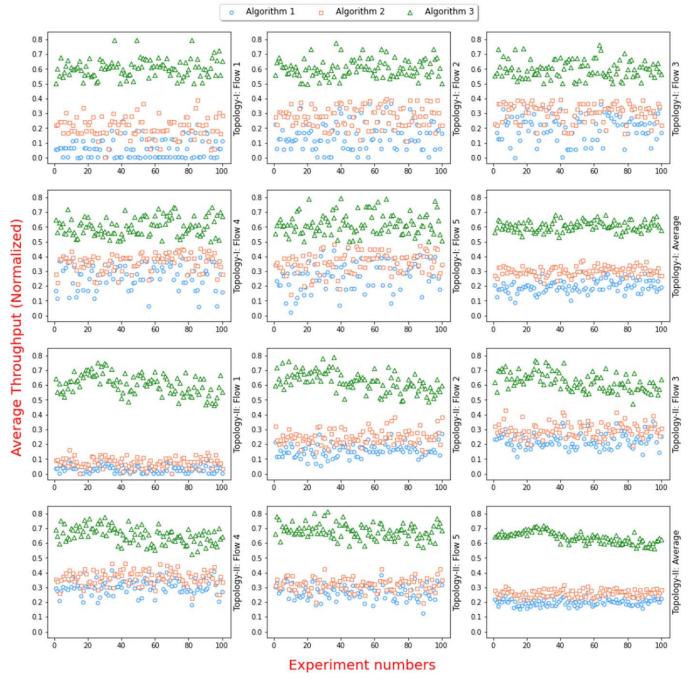
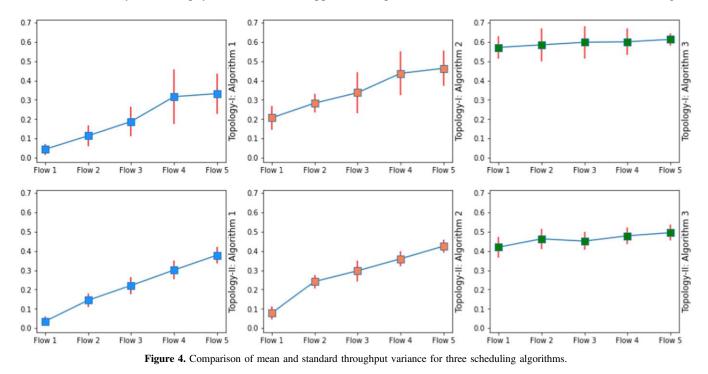


Figure 3. Comparison of 100 normalized average throughputs for three scheduling algorithms.

affected traffic, where all traffic streams are mixed together and collide with each other, creating congestion in some links and preventing the traffic behind them from being transmitted. The links still generate congestion. Algorithm 3 first assesses the global state of the link and directly assigns the shortest path for

transmission in the case of no congestion. It also performs loadbalancing on those links, where congestion is detected. The service traffic affected by the bandwidth is calculated by the SDN controller and forwarded to the path, whereas the service traffic affected by the delay is forwarded by the ECMP algorithm, which



improves the traffic forwarding efficiency. A comparison of the mean and standard throughput variance for the five traffic patterns employed is shown in Figure 4. The mean standard deviations in Topology-I are 0.1206, 0.0876 and 0.0629 for Algorithms 1, 2 and 3, respectively. In Topology-II, the mean standard deviations are 0.1068, 0.1093 and 0.0653 for Algorithms 1, 2 and 3, respectively. The results indicate that Algorithm 3 exhibits the lowest dispersion and, correspondingly, the lowest load.

From the above experiments, it is verified that Algorithm 3 can effectively improve the throughput of the SDXAO-DCN by providing discrete available transmission paths for bandwidthaffected services.

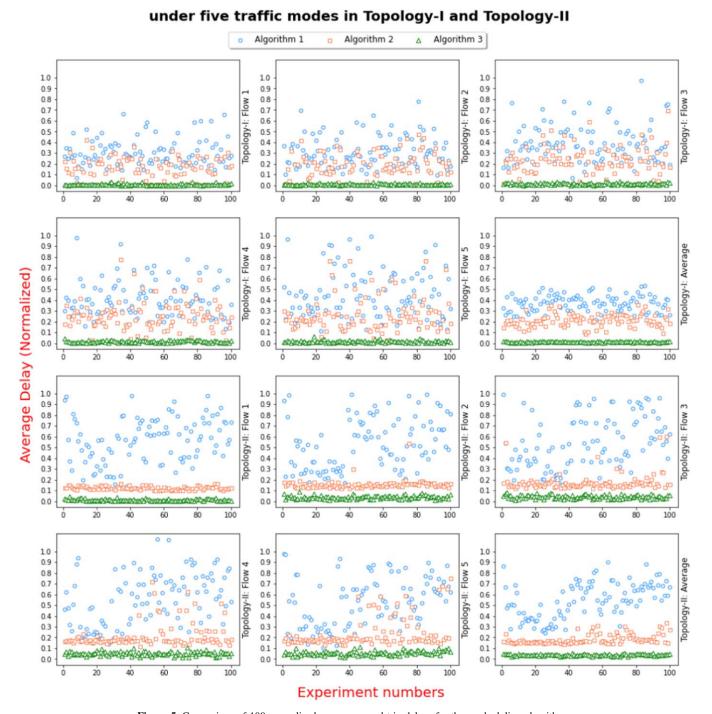
#### 5.2.2. Round-trip Delay Test Results

The round-trip delay is the total delay experienced from the time a transmitter sends data to the time it receives an acknowledgment from the receiver. It is affected (to some extent) by the link propagation time, the system processing time as well as the queuing and processing time in the cache of the switching equipment, which reflects the network congestion degree of variation. Experiments were conducted using five traffic model groups, and a total of 100 tests were conducted to evaluate the three algorithms separately. To facilitate the comparison, the round-trip delay was normalized using Equation (10), and the experimentally recorded round-trip delay was mapped to the [0, 1] interval, as shown in Figure 5, where a comparison of the 100 normalized average round-trip delays for the three algorithms is presented.

$$NORM^{*}[t] = \frac{DL[t]}{DL[\max]}$$
(10)

The test results of the five traffic models employed in Topology-I indicate that the average round-trip delays are 37.51%, 21.44% and 1.17% for Algorithms 1, 2 and 3, respectively. It is clear that the average round-trip delay of Algorithm 3 is significantly lower than that of the other two algorithms. The test results of the five traffic models employed in Topology-II indicate that the average round-trip delays are 54.58%, 18.54% and 3.84% for Algorithms 1, 2 and 3, respectively. It is clear that the average round-trip delay of Algorithm 3 is significantly lower than that of Algorithm 1. This is because Algorithm 1 simply distributes the traffic to each transmission path equally, which generates congestion due to the collision of traffic streams randomly selected for the same path, resulting in the delay-affected traffic at the back of the queue not being transmitted.

A comparison of the mean and standard variance of the round-trip time delay for the five traffic patterns is shown in Figure 6. The mean standard deviations of the three algorithms in Topology-I are 0.1756, 0.1369 and 0.0092 for Algorithms 1, 2 and 3, respectively. In Topology-II, the mean standard deviations are 0.2290, 0.1003 and 0.0225, respectively. These results indicate that Algorithm 3 exhibits the lowest round-trip time and stable performance.



# Comparison of Average Delay (Normalized)

Figure 5. Comparison of 100 normalized, average round-trip delays for three scheduling algorithms.

From the above experiments, it is verified that Algorithm 3 can effectively reduce the round-trip delay of the SDXAO-DCN by providing a fast transmission path for delay-affected services, which effectively reduces the response time.

# 6. Conclusions

In this paper, based on the differentiated demand for transmission services of the Xinjiang Astronomical Observatory Data Center Network, a novel software-defined

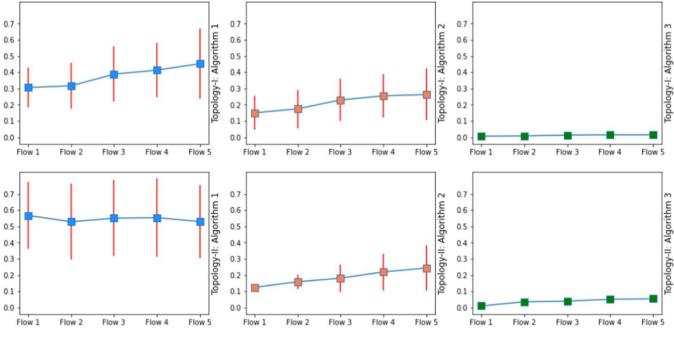


Figure 6. Comparison of the mean and standard variance of round-trip time delay for three scheduling algorithms.

reconfiguration method for this observatory was proposed, and the objective function and constraints were presented. The data flow in the network is controlled by reasonably scheduling the astronomical data transmission traffic through the objective function solution. Then, the SDXAO-DCN can be realised. The uniform distribution of the traffic across the network minimizes the dispersion of link capacity across the network. For the congestion problem of the transmission network, mathematical modeling was performed and loadbalancing algorithms based on influencing factors were designed to realise the classification and scheduling of two transmission services affected by the bandwidth and delay. The simulation results verified that the designed algorithm achieves better data transmission performance compared with the current load-balancing algorithms commonly used in data centers.

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